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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. \_\_\_\_\_  
First Inventor or Application Identifier **HAIM ZVI MELMAN**  
Title **APPARATUS AND METHOD FOR RETRIEVAL OF DOCUMENTS**  
Express Mail Label No. \_\_\_\_\_

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ \* Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages **29**]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **5**]
4. Oath or Declaration [Total Pages \_\_\_\_\_]
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 16 completed)
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

**\* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).**

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Washington, DC 20231

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement of Power of Attorney  
(when there is an assignee) ☐ Attorney
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☐ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
13. ☐ \* Small Entity Statement(s) ☒ Statement filed in prior application, Status still proper and desired  
(PTO/SB/09-12)
14. ☒ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
15. ☐ Other: \_\_\_\_\_

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_

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Signature \_\_\_\_\_ Date **15 DEC. 1999**

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**DATE:** 15 December, 1999

**TO:** Patent and Trademark Office  
Washington DC 20231  
U.S.A.

**ATTN:** Assistant Commissioner for Patents

**FAX:**

**CC:**

**Subject:**

Patent Application

Dear Sir.

I hereby submit a patent application of the title:

A METHOD AND APPARATUS FOR RETIEVAL OF DOCUMENTS.

The content enclosed in this package is specified in the UTILITY PATENT APPLICATION TRANSMITTAL form (PTO/SB/05) enclosed here.

A check of The First International Bank No. 110471 is enclosed for filing fees.

Sincerely,



Haim Melman

5

## **מכשיר ושיטה לאחזור מסמכים**

10

## **APPARATUS AND METHOD FOR RETRIEVAL OF DOCUMENTS**

מכשיר ושיטה לאחזור מסמכים

## FIELD OF THE INVENTION

The invention is related to the field of digital information management and, in particular, to search and retrieval of the same.

5

## BACKGROUND OF THE INVENTION

10 The growth of the Internet introduced broad access to information not available before. Access to digital information sources, once an asset of a small group of professional, holding information technology skills, has become a daily tool for millions of the Internet users.

15 Lower skill levels for search of such information is now an inseparable part of searches made for information by most of the users. Skill and knowledge aspects became limiting factors for successful search and retrieve of such information. Such limitations present themselves in the composition of Boolean queries, knowledge of relevant information sources such as the AltaVista index at [www.altavista.digital.com](http://www.altavista.digital.com) (maximum coverage of Internet documents by a single  
20 index is less then 40%). Many of the documents are accessed only by a direct reference from a person with a specific knowledge. Many of the information provided by querying an index such as Yahoo are not relevant. The search process is long, queries are modified many times and many information pieces are missed.

25 Natural language query is one suggested replacement for Boolean query method. However, a research from Search Insider ([www.searchinsider.com](http://www.searchinsider.com)) indicates clearly that users prefer the Boolean query methods and that search engines such as AltaVista and Yahoo ([www.yahoo.com](http://www.yahoo.com)) provide require much improvement.

30

The present status of information search and retrieval in the Internet is characterized by long and tedious search process, poor relevancy of retrieved documents and low level of success in retrieval of relevant documents.

It is the purpose of the present invention to provide a method and tools for efficient search and retrieval of documents. The description in reference to the Internet is made in a way of example only. It would be appreciated by those skilled in the art, that the proposed method is applicable to any digital information source.

## 10 A SUMMARY OF THE INVENTION

In a preferred embodiment of the present invention, the system comprises a Server, Clients having a Human Interface (H/I) and communication with the server, a Database, a connection to the Internet and Software of that system.

15 A User of the Client is using the H/I to compose a query view various information pieces including retrieved documents or titles or summary of those documents. The Software traces the user's search activity, collecting data such as queries and relevant or irrelevant documents and information sources. The data is evaluated and stored in the Database.

20 The data is also compared to other data, collected from all users, available from the Database. A data that is relevant to assist the current search process is presented to the user. This data include highly ranked queries that are similar to the query composed by the current user and highly ranked documents retrieved in the past by those queries.

25 As the Database is the accumulation of many such search processes, made by many users, in the same subject, the current user can benefit from the many hours invested by others to find information in this subject.

30 This method is particularly effective when used within an organization, whereas the organization members search for information that is relevant to the activity of that organization. Thus the Database generated overtime is highly relevant to all members of such organization.

The invention will be better understood in reference to the following Figures:

Figure 1.

A general block diagram of a preferred embodiment of the system of the present invention.

Figure 2.

A description of a preferred embodiment of a user interface of the invention.

Figure 3A and Figure 3B (referred to as Figure 3).

A workflow chart, describing a preferred process of working with the system of the present invention.

Figure 4.

Another preferred user interface.

## A DETAILED DESCRIPTION OF THE INVENTION

Reference is made now to Figure 1, which is a general description of one preferred embodiment of the system, presented in the form of a block diagram.

A preferred embodiment of the invention is presented. System 100 for search and retrieval of documents includes:

1. Server 102 which is any suitable computer such as IBM Netfinity 3000, available from IBM Corporation, North Carolina, U.S.A. with a suitable server software (Server S/W 106) such as Microsoft Windows NT, available from Microsoft Corporation, Washington, U.S.A.
2. At least one Client Station 104 which is any personal computer such as IBM PC 300XL with suitable software (Client S/W 112) such as Microsoft Windows NT (the drawing illustrates multiple Client Stations 104).
3. Server 102 includes also System Database 108.
4. Each of Client Stations 104 is connected to the Server by any means of communication 110 (such as LAN) and any standard communication protocol (such as TCP/IP).

5. Each of the Client Stations contains also Human Interface 114, such as described in details in reference to Figure 2.

6. System 100 is also connected to at least one source of digital information 116 by communication means 118. In the preferred embodiment presented here, such a source of digital information is the Internet. This connection may be done using any method, some of the methods are described in "Using the Internet", 2nd edition by Mary Ann Pike, © 1995.

Initial search process:

Initial search is characterized by no data in System Database 108. At this stage System 100 can not assist the user to find the information he is looking for. In this stage System 100 traces the user's search session, collects data from the search session, processes the data and stores the results in the database.

In the present embodiment of the invention, the user composes a Boolean query using Human Interface 114. The user then submits the query to a search engine such as AltaVista. A set of titles and summaries is presented to the user by the search engine.

The user may now select some of the titles for a detailed review and ignore the others.

When the detailed document is presented to the user it is desired that the evaluation of the document, by the user, will be provided to the System. For that purpose a window is displayed with the document. The window contains a dual button interface indicating Y and N. To change from the document display back to the search session the user must click either the Y or the N. Y indicates that the document is relevant to the user and N indicates irrelevant document. System 100 also traces activities such as printing a document or saving a document on disk. These actions are considered as parts of positive evaluations of a document.

The user, repetitively composes improved queries, submits them to the search engine and evaluates results (direct or indirect evaluation). During the search session the user may submit queries to different sources such as AltaVista,

Yahoo and Lycos ([www.lycos.com](http://www.lycos.com)). Also meta-search engines such as MetaCrawler ([www.metacrawler.com](http://www.metacrawler.com)) may be used.

When the user finishes the search session, the system has collected raw data ready for process. This data includes details such as the following:

1. Queries submitted by the user.
2. URLs of documents (URL: Uniform Resource Locator)
3. One query to many URLs relations for the URLs retrieved by each one of the submitted queries.
4. A set of evaluation parameters for each URL:
  - Y, N
  - Review of detailed document
  - Document printed
  - Document saved on storage device
  - Documents summaries - those that are available
  - Document generation date, last update date
5. Date and time session ended.
6. Register indications of who, within the users group, reviewed the document.
7. Date URL introduced to System Database.

A process of the data is begun at this stage to produce a set of valuable information in the System Database, the information contains details such as:

1. Ranking each of the URLs as per the following example:
  - A new URL (not exists in the System Database) acquires a preliminary rank 1.
  - Add 10 points for 'Y'.
  - Add 3 points for review of the document.
  - Add 1 point for printing the document,
  - Add 1 point for storing the document on a storage device.
  - Subtract 1 point for 'N'.



This ranking method enables increase of URL ranking either by direct or indirect evaluation by the user. Also, Rank reduction effectiveness is limited to avoid unintentional negative ranking of a URL.

2. A ranking method for a query is provided hereinbelow as an example:

Generate ranking parameters for each submitted query:

- Number of URLs related to this query that are ranked over 0 ( $n_1$ ).
- The sum of ranks of these URLs ( $v_1$ ).
- Number of URLs related to this query that are ranked 0 ( $n_2$ ).
- Number of URLs related to this query that are ranked lower than 0 ( $n_3$ ).
- The sum of ranks of these URLs ( $v_3$ ).
- Number of terms in the query ( $T_1$ ).

'Term' is used here in the broad sense. For example, a 'term' may be a single word, expression containing more than one word and a sequence of characters with indication to refer to all words and expressions containing that sequence of characters.

A query may then be ranked using a formula such as:

$$(1) \quad \text{Rank} = K_1 \times (v_1/n_1) + K_2 \times (v_3/n_3) + K_3 \times T_1$$

This formula may use a relatively high value for  $K_1$  to make valuable URLs more effective in ranking the query as a useful query.  $K_2$  may be a relatively low value to limit the effect of irrelevant URLs retrieved by this query. This satisfies the need to reduce the rank of a query if many irrelevant URLs are retrieved by the query but, at the same time, make sure that the query maintains a good rank if it also retrieves valuable URLs.

$T_1$  is an indication for the sophistication of the query that adds to the value of such query to the user.

It would be appreciated that ranking formula (1) is an example and many other formulas may produce valuable solution.

3. Generating a set of related terms: each query is analyzed for terms related by Boolean operators such as OR, AND and NOT. This generates a many to many relations among terms of the query.

Consider the following query example:

(car or automobile or vehicle not bus) and (road or sand or mud).

The following relation table may be generated:

	car	automobile	vehicle	bus	road	sand	mud
car	-	OR	OR	NOT	AND	AND	AND
automobile	OR	-	OR	NOT	AND	AND	AND
vehicle	OR	OR	-	NOT	AND	AND	AND
bus	NOT	NOT	NOT	-	NOT	NOT	NOT
road	AND	AND	AND	NOT	-	OR	OR
sand	AND	AND	AND	NOT	OR	-	OR
mud	AND	AND	AND	NOT	OR	OR	-

This vocabulary, with the Boolean relations, is useful in identifying terms that are relevant to other terms, in the sense of composing a Boolean query.

For example, when the word 'vehicle' is introduced in a query, the System may use the System Database to present the user with other terms that may be useful, under the relevant category:

OR: car, automobile

AND: road, sand, mud

NOT: bus

The user may then use these related terms to enhance his query.

4. Ranking each of the terms. The terms may be ranked according to the number of times they appear, in relation to a given term, in all queries. The ranking may be separate for each type of Boolean relation, such as OR, AND and NOT.

This ranking may also be modified, by weighting each appearance by the rank of the query, that generates said relation.

This will enable to present the terms, such as 'road', 'sand' and 'mud' in appropriate order: most useful term at the top.

5

It would be appreciated that a regular thesaurus will not provide such relations nor contain many professional terms (such as densitometer and "SELFOC lens"). The method of this invention generates a database containing such terms, introduced by the users during multiple search sessions.

10

The data collected during the search session is then stored in the System Database together with the set of parameters and calculated ranks. This also includes submitted queries, URLs, summaries, terms and their relations and ranking.

15

The amount of such data accumulates more rapidly when the System is multi user. This is the case in organizations, where many members of the organization search for information regarding similar subjects.

20

Reference is made now to Figure 2, which demonstrates a preferred embodiment of Human Interface 114 of Figure 1.

25

In order to enable a comprehensive explanation, it is assumed that an amount of data has already been collected in System Database 108 by the process described hereinabove and this data is available for assistance of searches made by individuals.

30

#### Query Composition section:

In a preferred embodiment of the invention, Query Composition section 200 contains Query window 202 where the current query is composed and Related

Queries window 204 for display of relevant queries stored in System Database 108.

Basic query composition is done in Query window 202, by typing words, expressions, Boolean operators and conventional sings. Following are some examples:

Words:	car, road, table,...
Expressions:	"racing car", "road map", "Tolman's coffee tables",...
Boolean operators:	OR, AND, NOT, ADJ,...
Indefinite termination:	info* stands for: (information OR inform OR Infocenter OR...)

Related queries are displayed in Related Queries window 204. In a simple implementation of the present invention, terms from Query window 202 are used to search System Database for stored queries that contains such terms. The queries are displayed in Related Query window according to their rank, highest rank first.

In a more sophisticated implementation of the present invention, the queries containing terms of Query window 202 are specially ranked for display in Related Queries window 204. This ranking may first rank the queries in accordance to the number of terms from Query window 202 that are contained in these queries and then, a sub-level sorting is performed, where all queries of the same ranking are sub-sorted by their own rank (as stored in System Database 108). Other ranking schemes are possible.

In default, the top query of Related Query window 204 is highlighted. Any other query of window 204 can be highlighted by clicking on it. More then one query may be highlighted simultaneously. Double clicking a query of Query window 204 will copy the query to Query window 202, instead of what was previously in that window. Related Query window 204 will refresh then, according to the new query in Query window 202.

More queries may be observed in Related Queries window 204 by using Roll Slider 236.

The method of clicking, double clicking, highlighting, window rolling with a roll slider and other such elements are in accordance to the conventions of Windows'95 from Microsoft Corporation, Seattle, Washington, U.S.A. It will be assumed that the reader is familiar with these conventions and they will not be described here in more details.

#### Related Terms section:

Related Terms section 206 within Query Composition section 200 supports the process of query composition by listing terms that are related to the terms of the query in Query window 202. Related Terms section 206 contains four windows:

1. Key Terms window 208 is used for controlling key terms. Such terms, indicated as KeyTerm1, KeyTerm2 ... (KT1, KT2...) are automatically selected from Query window 202, excluding Boolean operators and Boolean syntax. The user may add, remove or select any terms of window 208.

In a simplified implementation of the present invention, the terms of window 208 are presented in the same order of appearance in the query of Query window 202.

In another implementation of the invention, the terms are presented in accordance to their ranking -high ranking first.

Other sorting methods for display order are possible.

In default, the first term of Related Terms window 208 is highlighted. The user may highlight any other term. Terms may be added, edited and removed from window 208.

2. 'Or' window 210 contains terms that we are related to the terms of Key Terms window 208. The relation is established by the Boolean operator 'OR' in queries composed and stored in System Database 108 in previous search

sessions. For example 'Term1' in window 210 appears in at least one query stored in System Database 108,

either in the form

5                                   ...KeyTerm1 OR Term1...

or in the form

                                  ...KeyTerm2 OR Term1...

10       The order of the terms presented in window 210 may be according to the following sorting:

First: terms that are related to the highlighted term of window 208.

Then: terms are sorted according to their ranking (described hereinabove).

15       Then: terms that are related to non highlighted terms of window 208, arranged in groups of the same order as the ranking of the non highlighted terms of window 208.

Then: each of the group of terms relating to a non highlighted term of window 208 is sub-sorted according to their own ranking.

20       3. 'And' window 212 contains terms that we are related to the terms of Key Terms window 208. The relation is established by the Boolean operator 'AND' in queries composed and stored in System Database 108 in previous search sessions. For example 'Term4' in window 212 appears in at least one query stored in System Database 108,

25                                   either in the form

                                  ...KeyTerm1 AND Term4...

or in the form

                                  ...KeyTerm2 AND Term4...

30       Order of display is according to the method of window 210.

4. 'Not' window 214 contains terms that we are related to the terms of Key Terms window 208. The relation is established by the Boolean operator 'NOT'

in queries composed and stored in System Database 108 in previous search sessions. For example 'Term7' in window 214 appears in at least one query stored in System Database 108,

- 5                    either in the form
- ...KeyTerm1 NOT Term7...
- or in the form
- ...KeyTerm2 NOT Term7...

- 10           Order of display is according to the method of window 210.

Nested Boolean relations are supported. For example, query of the type

(A OR B) AND (C OR D) NOT (E OR F)

- 15           will generate the following relations:

Key Term	Or	And	Not
A	B	C D	E F
B	A	C D	E F
C	D	A B	E F
D	C	A B	E F
E	F		A B C D
F	E		A B C D

Button 'Go', 216, is used to indicate that the composition of the query is complete and the system is to retrieve information in accordance to that query.

5

Documents section:

Document section 218 is used to display titles and summaries of documents in accordance to the highlighted Query in Related Queries section 204.

10

Title window:

The titles of the documents are displayed in Title window 220 are titles that are highly related to the highlighted query of window 204, Query2 in the example of Figure 2.

15

The titles are available from System Database 108 and are displayed in order according to their ranking. High rank displayed first.

If the number of such titles is lower than No. of Titles 228 (20 in the example of Figure 2), the next group of titles is extracted from System Database 108. These titles are related to the non-highlighted title with the highest rank in window 208. If this does not provide the required number of titles, the next group of titles is extracted from System Database 108. These titles are related to the non-highlighted title with the second highest rank in window 208. This procedure is repeated until the required number of titles is provided.

20

Each such group of titles is sub-sorted according to the rank of the titles.

25

Titles are also filtered for display by Which Title? window 232. In the example of Figure 2, only New titles are presented. These are titles that have not been reviewed yet by the present user. The filtering action of the preferences is explained in more details hereinbelow, in the Preference section part.

30

A single click on a title of window 220 will effect the display of summaries in Summary window 222 as explained hereinbelow.



A double click on a title of window 220 will display the document of that title.

Window 224 is an indicative window that displays the URL of the highlighted title of Window 220.

5

#### Summary window:

The summaries of the documents that are displayed in Summary window 222 are related to the list of titles in window 220. The summary of the highlighted title, Title3 of window 220 in the example of Figure 2, is displayed in bold underlined characters, at the top of window 222, indicated as Summary3. Unused area, below Summary3, is used for summaries of subsequent tiles, as provided by the size of window 222. These summaries are presented in the same order as the titles of window 220. The roll slider of this window allows both up-rolling for summaries preceding Sammary3 and down-rolling for summaries succeeding Sammary3.

Double clicking a summary will display the document of that summary.

#### Preferences section:

Preferences section 226 is used for input, by the user, of preferences for the search session. A maximum number of titles to be presented is indicated in window 228, adjustable by the user. Date Range windows 230 indicate the earliest and latest dates of that rang. Only documents that were updated in System Database 108 within this range will be presented. The dates are adjustable by the user. Which Title window 232 contains multi-choice options. Three such options may be:

1. 'New': documents that the current user did not see before the present search session - documents that are new to the current user.
2. 'Reviewed': only documents that have been reviewed by the current user in a previous session. This is useful when the user is interested in a document he reviewed in the past but does not know the location of that document.
3. 'Any': displays both 'New' and 'Reviewed' documents.

Database windows 234 indicates the database to be used by the system.

Local window 238 is used to select the preferred local database to perform as

5 System Database 108. This may be one of few local databases or a portion of a database. The different options may be indicated by names such as:

- Electronics: the portion of the database related to the electronic engineers.
- Marketing: the portion of the database related to the marketing people.
- All: All database.

10 This arrangement is described in more details in Israeli patent application number 119183 dated September 2nd, 1996 (Haim Zvi Melman et al.).

The selected database is used during the user's interaction with the human interface of Figure 2 including when a query is submitted for search (old or new  
15 query).

Internet window 240 is used to select the preferred Internet database sources. This is useful for submission of queries for search of new documents (or titles) that are not in the local database. Pre-configured selections of sources are  
20 available at this window.

#### Aided Search Session:

25 The aided search session will be described hereinbelow in reference to Figure 2 and Figure 3.

For simplicity, all elements of figure 2 are indicated with the digit 2 for the hundreds digit, such as 202, 206 and 214.

All elements of figure 3 are indicated with the digit 3 for the hundreds digit such  
30 as 302, 306 and 314.

It will be appreciated that the system can handle multiple simultaneous search sessions, conducted by different users, independently. Each user may use his

own preferences configuration in Preferences window 226. The data collected from each user is added to System Database 108 to serve all users. Parts of System Database 108 may be limited for use by only few users, not accessible to others.

5

In this example, the preferences of window 226 will be as indicated in Figure 2:

No. of Titles:	20
Which Title:	New
Date Range	Earliest: 14 Feb 97
	Latest: 01 Jan 98
Source:	Local: Mechanics
	Internet: Set 5

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1. The user types KeyTerm1 (KT1) and space in window 202 (step 304 of Figure 3).
2. The space is interpreted by System 100 as a term separator.
3. KT1 is the only term at this stage and it is the only term (Key Term1) displayed in Key Terms window 208 (step 306 of Figure 3).
4. System 100 is searching System Database 108 for stored terms that are related to KT1 (step 308 of Figure 3).
5. The terms that are related to KT1 are displayed in windows 210, 212 and 214 according to the presentation rules of these windows (step 310 of Figure 3).
6. System 100 is searching System Database 108 for stored queries that contain KT1 (step 312 of Figure 3).
7. The selected queries are displayed in window 204 according to the display rules of that window (step 314 of Figure 3). First query is highlighted by default. Another query can be highlighted by the user by a single click on the query.
8. In step 316 System Database 108 is searched for titles that are related to the queries of window 204.
9. The related titles are displayed in window 220 according to the display rules of that window (step 318 of Figure 3). First title is highlighted by

default. Another title can be highlighted by the user by a single click on the title.

10. System 100 is searching System Database 108 for stored summaries associated with the titles of window 220 (step 320 of Figure 3).

5 11. The selected summaries are displayed in window 222 according to the display rules of that window (step 322 of Figure 3).

12. The user may now select to add a Key Term in window 202 either by typing in the term or by selecting a Term from any of windows 210, 212 and 214 by double clicking a Term. If he double clicks a term from said window, the Term will be added to the term in window 202 together with its' Boolean operator: OR, AND or NOT, according to the window from which the term was selected. This term will be added as Key Term2 (KT2) to window 202.

The user may introduce editorial changes to the content of window 202 such as changing the Key Terms or the Boolean operators.

15 13. System 100 repeats steps 306 through 322 of Figure 3, applying all the display rules of the relevant windows.

14. The above process is repeated until the user is satisfied with the query he composed or by one of the queries displayed in window 204.

20 15. At this stage the user has made his choice of a query (326 of Figure 3B). If his decision is for a query from window 204, the user will double click that query (step 328). The selected query is copied to window 202, replacing the text that was previously there (step 330). Steps 306 through 322 are repeated by System 100 (step 332).

25 It will be appreciated that the user may edit the query in window 202 even if it was copied there from window 204 or double click another query of window 204.

16. If the user is satisfied with the query of window 202 and has not found yet the information he is looking for in System Database 108 (as specified by the reference window 226), window 216 is clicked once (step 334).

30 17. System 100 performs then a search for documents in accordance with the query of window 202 and preferences of window 226. The search is limited to sources specified in windows 238 and 240, filtering out documents outside of

Date Range 230 and documents that have been seen in the past by the current user, as required by window 232 (step 336).

18. In step 338 the search results are displayed in windows 220 (titles) and 222 (summaries). Summaries of documents that are new to the database may be extracts from the document by a variety of methods such as reading the content of the relevant Meta Tag in an html file type or just reading the first few lines of the document.

19. The user may select a summary and double click it (step 340).

20. The selected document is displayed in a dedicated window, such as a Netscape browser window (step 342).

The user may view documents, step back to the search process modifying his search activity in a variety of ways such as composing new queries, editing queries and changing preferences of window 226.

Following predetermined conditions (such as every 10 minutes or end of search session or every logout) System 100 is processing the new search information and generates new data for System Database 108. This data is added to the previously stored data for future aided search sessions, as described hereinabove (section of Initial search process).

Simple queries such as of one or two Key Terms are the most intuitive and are frequently the first ones composed in a search session. In another embodiment of the invention, the user may submit such a simple query that in a present art search system will fail to produce useful results and provide many falls results. In the present invention, submission of such a query will actually result in submission of a number of more sophisticated queries, these are Related Queries available from System Database 108. These queries may be those of a rank above a predetermined threshold. The results will be those that are associated with the highly ranked Related Queries. This methods enables valuable results from a simple and intuitive query - not effective by itself.

A method is presented hereinbelow, to associate such simple queries that, in most cases, do not provide the desired results, with more sophisticated queries

that are usually not intuitive and are composed only after few interaction with search results and query modifications accordingly.

A search session is defined to be the process of searching information related to a specific subject. A search session includes the composing of queries,

- 5 submission of queries to a search engine, evaluation of results, modification of queries as a response to such search results, submission of such modified queries - and so on.

Two sessions are different if the subject is different.

- 10 In the present embodiment of the invention, semi-automatic session tracing is performed by the system. Queries are identified to belong to the same search session in the following way:

New search session starts by a query (normally after the application is activated).

- 15 Each new or modified query is compared to all the previous queries. If at least one keyword of this query is used in one of the previous queries of that session - the new query is belongs of the same session.

If the user go through strategy change in his queries there may be no keyword relation anymore to previous queries even if this is the same search session. For example, a user may search for information on 35mm film dimensions. He may

20 start with a query "film and 35mm" and change strategy to search for standard organizations with the query "iso". To overcome this problem in the present embodiment, when this occurs, the system responds to the user with a question: "Have you started a new search session? Y/N". If the user answers "N" the queries "film and 35mm" and "iso" are associated with the same search session.

- 25 Next time that the query "film and 35mm" will be composed by a user, a reference will also be made to the query "iso" as a relevant query. Useful titles retrieved by the query "iso" will be available then also for the query "film and 35mm".

If the user replies "Y", the previous set of queries are associated with one search session while the new query is the first one of the new search session.

30

In yet another embodiment of the invention, the data that is collected and processed trough the search sessions is used to generate and support direct

information exchange and communication among the members of an organization.

As described hereinabove, during the search session Related Queries and Titles from System Database 108 are associated with the search activity of the user.

- 5 Since such queries and titles are related also to other users who used them in the past, the names of such users can be presented to the current user for user to user communication.

In the present example, after the user has worked through at least a part of his search session, such a relation to Related Queries and Titles of System

- 10 Database 108 has been established. The user interface of Figure 2 may contain "Names" indicator. By clicking this indicator, System 100, opens a new window. This window contains names, email addresses and phone numbers of the users who used the Related Queries and Titles in the past.

The order of names in the window may be according to the order of Related

- 15 Queries of window 236 of Figure 2. Where two or more names are related to a query the order of presentation of these names would be according to the number of Related Queries with which the names are associated, names associated with more queries to appear first.

The names are adjacent to checkboxes. The user may now, for example, use the

- 20 checkboxes to select a subgroup of the names and then select an Email option.

An email form addressed to the checked names will be opened. The user may then write an email to this group of user, asking for more information about their activity in the subject of his search session.

Of course, the user may also select to use the phone numbers to call these

- 25 people.

An example that highlights the usefulness of this method is provided hereinbelow:

A user may look for an international standard in a specific matter. By looking at the ISO Internet site ([www.iso.ch](http://www.iso.ch)) He may find a reference to the standard he needs but the standard itself is not available there. The standard has to be

- 30 ordered separately, be paid for and delivered by mail.

In this example the user may place a relevant query in query window 202 in order to get names of people, in the organization, who are involved in that subject.

Then he can email them an inquiry to find whether they have in possession the

requested document. By doing so he may shorten the time and cost to get the required document.

It will be appreciated that the same list of people is useful for the purpose of delivering information that is relevant to the subject of search. Therefore, this method may also be used as a document distribution channel without the effort of active documentation of people's interests.

The interface of the present invention may have also the form described here in reference to figure 4, which represents a browser 400 such as Internet Explorer 5 available from Microsoft Corporation, Seattle, U.S.A.

Reference number 402 indicates the tool bar and reference number 404 indicates the address window. An html page is presented in the main window, number 406, displaying results provided from a search engine, AltaVista in this example.

The bottom of browser is occupied by search interface 408 of the present invention. This interface may be constructed using technologies such as Explorer Bar available from Microsoft.

The query is composed in window 410 (equivalent to window 202 of figure 2). The related queries are displayed in window 412 (equivalent to window 204 of figure 2). Related keywords are displayed in window 420 (equivalent to any of windows 210, 212, 214 of figure 2). Push buttons 416 are used to select which category of keywords will be displayed in window 420: AND, OR and NOT in the present embodiment. Window 418 is used to select the desired filter (equivalent to window 232 of figure 2). In the present example, AltaVista is selected as the source for the search results. Button 414 is used to submit the query of window 410.

It is common that browsers provide a tool for listing pages which the user access occasionally. Internet Explorer 5 from Microsoft provides it in the form of Favorites. The structure of this tool comprises an editable directory in which the references to the documents are arranged. To upload a document the user opens this directory, searches for the name of the desired document and click on the



name. The document is accessed by hyperlink method and loaded to the browser.

It is desired that the user will have simpler access to documents that are required often. In the present embodiment of the invention, query window 410 is also used for a direct access to selected documents.

The steps of registering such a document for a fast access are as follows:

1. Have the desired document displayed in the window of the browser.
2. In query window 410 type a string of characters in which the first two characters are a slash and a plus: “/+”, used to indicate that this is not a query but a string for accessing the document displayed currently in the window of the browser.
3. When GO button 414 is keyed, the program checks the string from window 410.
4. As the “/+” is identified, the string (excluding the “/+” characters) is registered in a special table in conjunction with the address of the document displayed in address window 404.

In the present example, the document may be by the Hotmail Internet service available in the address <http://www.hotmail.com>.

The user may register fast access to the document using the string “/+hot”.

The program will register the string “hot” in conjunction with the address of the document: <http://www.hotmail.com>.

To access later this document the user may take the following steps:

1. Type the string “/hot” in query window 410. The slash character indicates that the string is not a query but an indication for a request to open a specific document.
2. When GO button 414 is depressed, the program identifies the slash and as a result, searches in the table for “hot”.
3. When “hot” is found in the table, the associated address, <http://www.hotmail.com> in this example, is used to open the document in the browser.

It would be appreciated that one may set the program to accept also a fraction of the original string. For example, if the user submits the string "/ho" the program may look for the first "ho" in the table and open the document of the associated address.

Also, instead of using control characters such as "/" and "/" of the example provided hereinabove, a dedicated button may be provided for registration of a string in association with an address of a document.

An interface may have a button indicating "Add" and a button indicating "Retrieve". The user may type the string and punch "Add" to add the string and the address to the database. Then he may type the same string or a part of it and punch the "Retrieve" button to have the associated document displayed.

This method is valuable also in a floating search interface such as Excite Direct, available from Excite@home, Redwood City, California, U.S.A.

It would be appreciated by those skilled in the art that the method is not limited to search engine interface. It can be applicable also through the address window of a browser (item 404 in figure 4) or any other window that can accept a user's string of characters.

In yet another embodiment of the invention the system database is divided to separate sections in conjunction with a directory so that each entry of the directory has an associated section in system database 108 (or, alternatively, a separate database).

By selecting an entry in a directory the user also indicates the section in the database that is available for his session.

An example for a directory is provided hereinbelow:

5                   Transportation  
                  Land  
                  Power sources (1)  
                      Liquid fuel vehicles (2)  
                      Electrical vehicles (3)  
                      Cars (4)  
                      Personal (5)  
10                   Family (6)  
                      Tracks (7)  
                      Trains (8)  
                      Gas driven vehicles (9)  
                      Road vehicles (10)  
15                   Off track vehicles (11)  
                      Rails (12)  
                      Statistical data (13)  
                  Air (14)  
                  Sea (15)  
20

The numbers (1) to (15) indicate sections in the databases that are associated with the adjacent entry. For example database section (1) is associated with the entry "Power sources" and database section (9) is associated with the entry "Gas driven vehicles" which is a sub-entry of "Power sources" in the example of this directory.

If a user selects

Transportation->Land->Power sources->Liquid fuel vehicles->Electrical vehicles->Cars->Family (6)

30 Then he will work with the database section indicated (6). In the present embodiment, all the users selecting this entry of the directory and search the information using the system of the present invention enrich database (6) with data relevant to that subject of that entry in the form of keywords, queries, titled, ranking and other elements of the invention. This database becomes a  
35 resourceful help for the people searching for information on a subject of the entry by responding to the user with keywords, queries, titled, ranking and other elements of the invention.

A section of a database of an entry may comprise sections of the database of subentries. This may be realized by simply allowing an access also to the  
40 sections of these database sections.

It would be appreciated by those skilled in the art that efficiency of System 100 depends on the computers in use, communication networks and other device parameters.

- 5 The flow of process, as described hereinabove may be modified to suit less efficient devices by avoiding updating the windows of Figure 2 following any change in any window. Instead, update may be performed as a response to a predetermined partial group of changes or only by an explicit request from the user.

10

It is also appreciated that non-Boolean query systems, such as Natural Language Queries, may be used in the present invention.

- 15 The hereinabove embodiments are described in a way of example and do does not specify a limited the scope of the invention.

The scope of the invention is defined solely by the claims provided hereinbelow:

### Claims:

1. A method for retrieving a document for display on a computer comprising the steps of:  
Associating a string of characters with the address of a document,  
and;  
Registering the string and the associated address in a database,  
and;  
Typing at lease a part of said string in a window, and;  
Looking-up for said string in said database using said at least part of said string, and;  
Displaying the document specified by said address.
2. The method of claim 1 and;  
the user types the string of characters in a query window of a search interface.

3. The method of claim 1 whereas control characters are used to indicate that the string is generated for the purpose of associating an address of a document.
4. The method of claim 1 whereas control characters are used to indicate that a document associated with a string should be open.
5. The method of claim 1 whereas a button is used to indicate that the string is generated for the purpose of associating an address of a document.
6. The method of claim 1 whereas a button is used to indicate that a document associated with a string should be open.
7. An apparatus for search and retrieval of documents comprising a computer, a database and a directory, and;  
Said database contains at least one query in the form of at least one term, and;  
said database is split to at least two sections, and;  
Each section is associated with an entry of said directory, and;  
by selecting an entry in said directory, the user also specifies the section of said database, and;
8. The apparatus of claim 7 including the step of updating only the specified database with keywords.
9. The apparatus of claim 7 including the step of updating only the specified database with queries.
10. The method described hereinabove.
11. The apparatus described hereinabove.

## ABSTRACT OF THE DISCLOSURE

A system for support and management of search for documents is presented.

The system includes a knowledge-database, query interface and communication

- 5 to a database of documents to be searched. Information generated during a search session is collected by the system and is added to the knowledge-database. The information is ranked automatically according to the usage of that information by the user. During successive search session or during a search made by another user, the system uses the knowledge-database to support the
- 10 users with keywords, queries and reference documents.

[illegible]

- 5

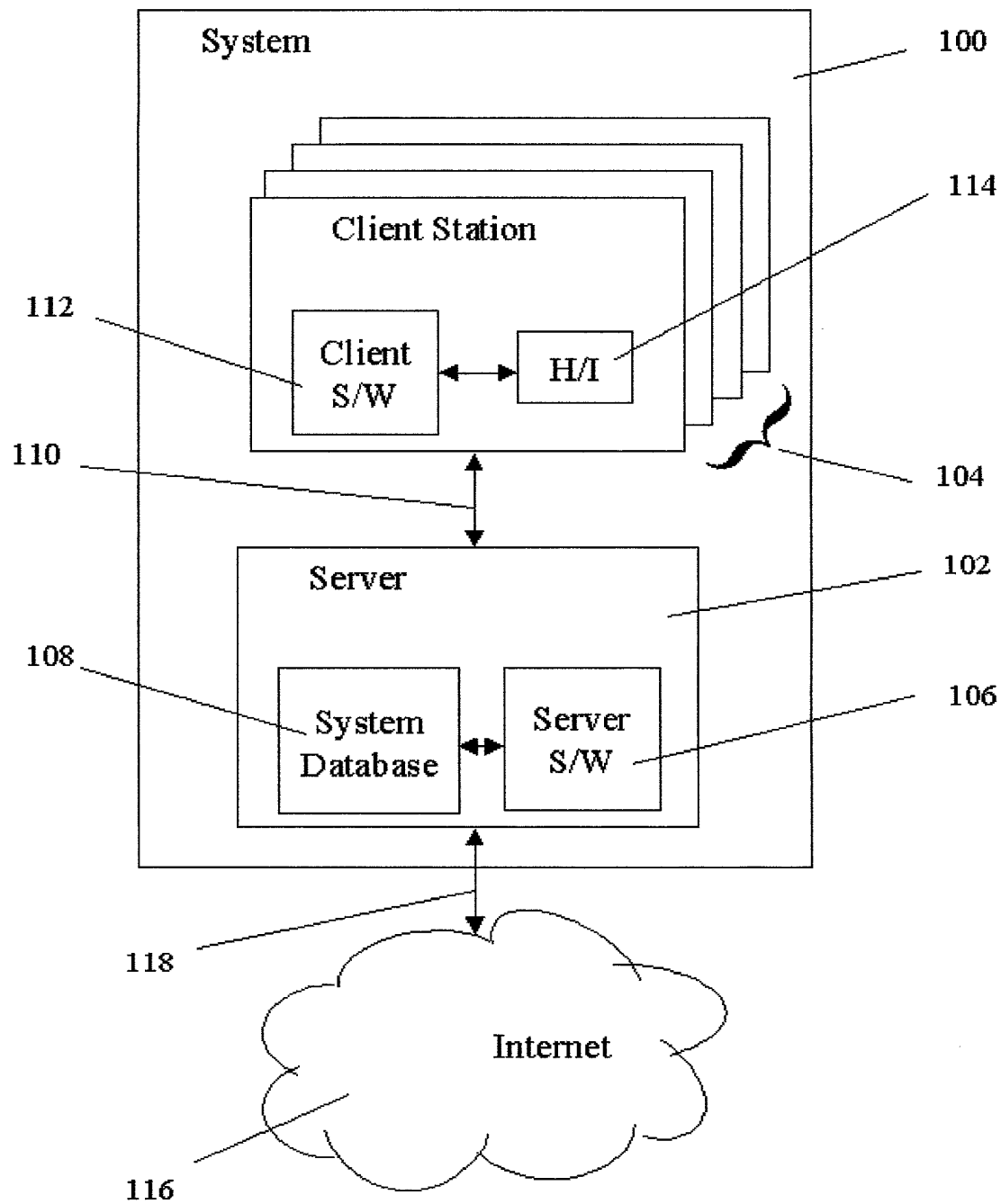


Figure 1



200

202

204

216

**Query Composition**

Query (KeyTerm1 or KeyTerm2) and (KeTerm3 or KeyTerm4)  236

Related Query1

Query2

Queries Query3 206

**Related Terms**

Key Terms	Or	And	Not
Key Term1 ▲	Term1 ▲	Term4 ▲	Term7 ▲
Key Term2 ▼	Term2 ▼	Term5 ▼	Term8 ▼
KeyTerm3 ▼	Term3 ▼	Term6 ▼	Term9 ▼

218

220

**Documents** 208 210 212 214 222

Title	Summary
Title1 ▲	3. <u>Summary3</u> text text text text text text text text text text text text text text text 4. Summary4 text text text text text text text text text text text text text text text text text text 5. Summary5 text text text text text text text text text text text text text
Title2 ▼	
Title3 ▼	
Title4 ▼	
Title5 ▼	
Title6 ▼	
Title7 ▼	
URL3	

224

226

234

**Preferences**

No. of Titles 20 ▼	Which Titles? Unseen ▼	Date Range:		Local Internet	Database: Mechanics ▼ Set 5 ▼
		Earliest 14 Feb 97	Latest 1 Jan 98		

228

232

230

240

238

Figure 2

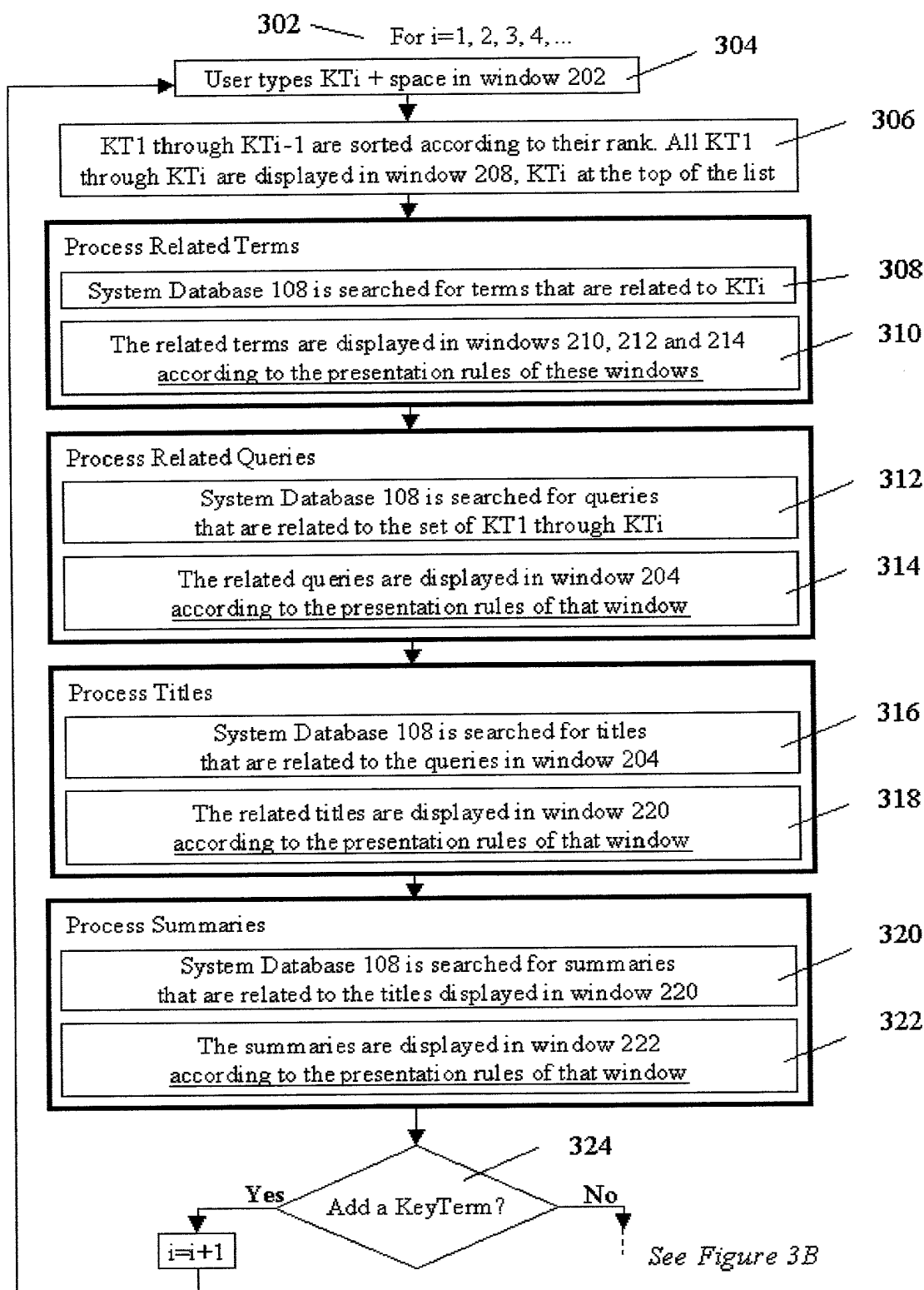


Figure 3A

See Figure 3A

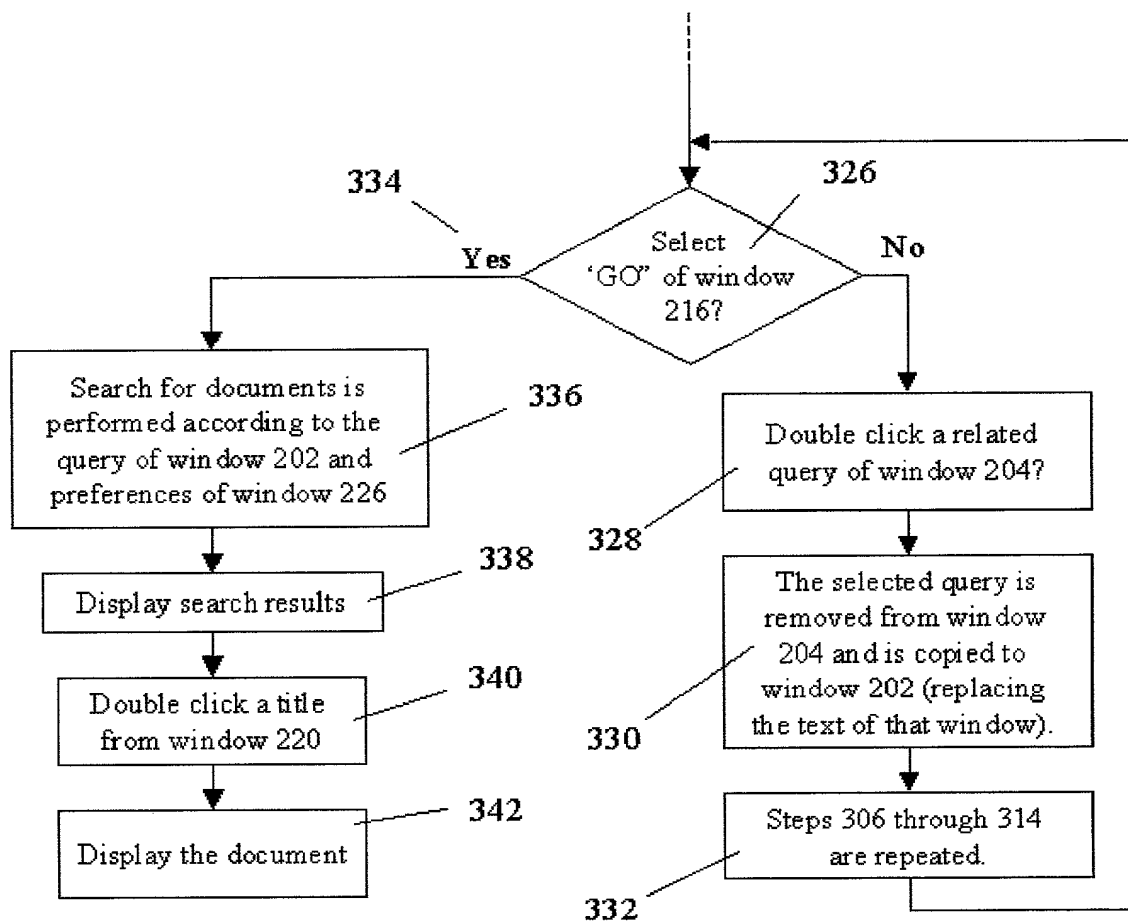


Figure 3B

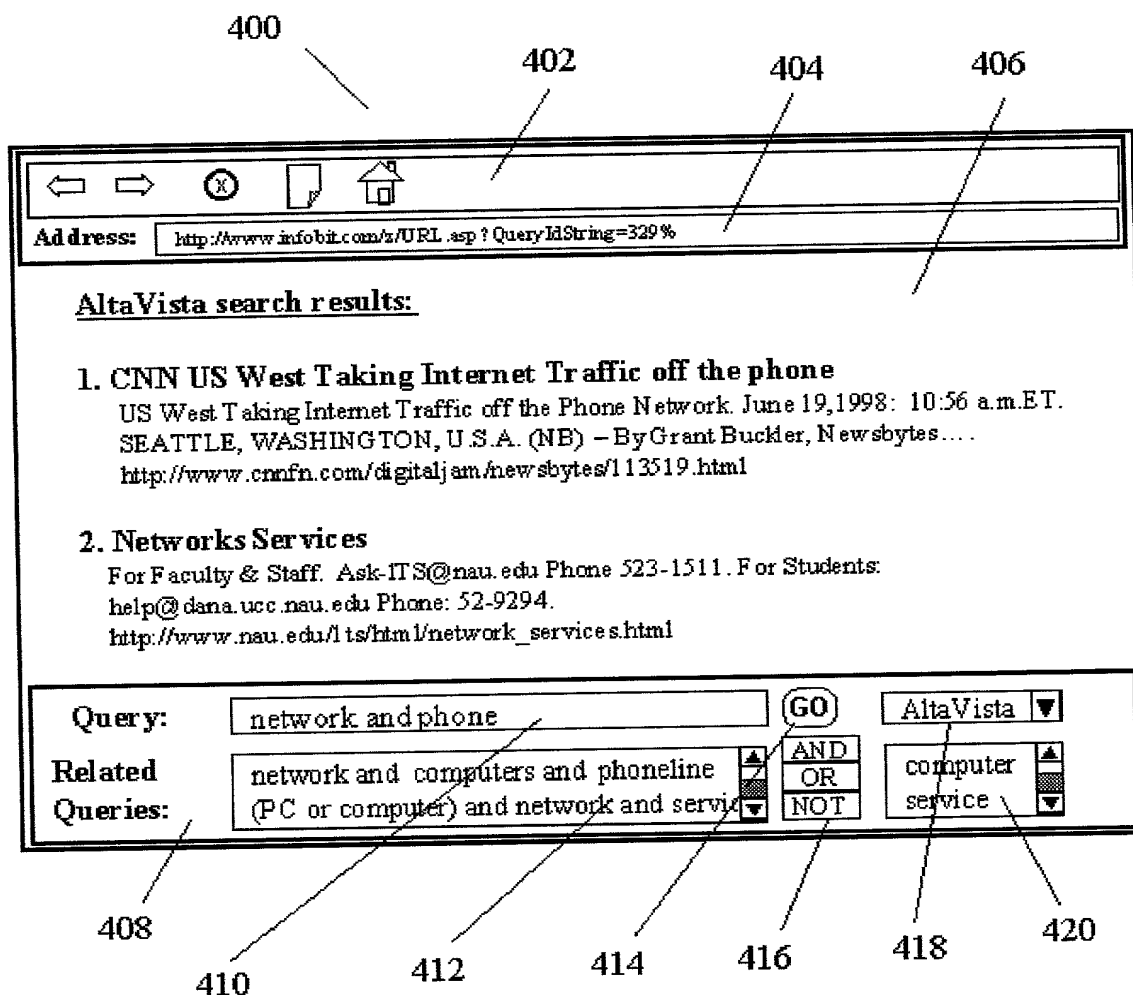


Figure 4

Please type a plus sign (+) inside this box → **+**

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	<b>First Named Inventor</b>	<b>HAIM ZVI MELMAN</b>
	<b>COMPLETE IF KNOWN</b>	
	<b>Application Number</b>	<b>/</b>
	<b>Filing Date</b>	
	<b>Group Art Unit</b>	
	<b>Examiner Name</b>	

**As a below named inventor, I hereby declare that:**

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**APPARATUS AND METHOD FOR RETRIEVAL OF DOCUMENTS**

the specification of which (Title of the Invention)

☒ is attached hereto  
OR  
☐ was filed on (MM/DD/YYYY) [ ] as United States Application Number or PCT International Application Number [ ] and was amended on (MM/DD/YYYY) [ ] (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
133588	ISRAEL	19 Dec. 1999	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)

☐ Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

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U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

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Inventor's Signature		Date	15DEC99
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		ZIP	
		Country	

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